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# SOME FITTING FORMATIONS OF FINITE SOLUBLE GROUPS

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In his recent thesis [9], C.L. Kanes constructed an interesting new family of Fitting formations and proved that not all members of this family are saturated. His attempt to find a necessary and sufficient condition of saturation in this context was only partly successful. This note presents such a condition.

Standard terminology will be used: see for instance Gaschütz [5]. Let  $F$  be an algebraically closed field of prime characteristic  $q$ ; let  $\pi$  be a set of primes (with  $\pi'$  the complementary set),  $X$  a Fitting formation in the product class  $S_{\pi}S_{\pi'}$ , and  $H_q^{\pi}(X)$  the class of all those groups  $G$  in  $S_q, S_q X$  which satisfy the following condition: if  $U$  is an irreducible submodule of  $F \otimes V$  for some  $q$ -chief factor  $V$  of  $G$ , then  $U$  regarded as  $O_{q', q\pi}(G)$ -module is homogeneous (that is, a direct sum of pairwise isomorphic irreducible modules). By Theorem 5.2.2 of Kanes [9], each such class  $H_q^{\pi}(X)$  is a Fitting formation. His Theorem 5.3.4 states in effect that when  $q \notin \pi$ , this formation is saturated if and only if  $X$  lies in the class  $S_{\pi} \vee S_{\pi'}$  of all direct products of  $\pi$ -groups and  $\pi'$ -groups. The general version is the following.

THEOREM. The formation  $H_q^\pi(X)$  is saturated if and only if  $X \subseteq S_q(S_\pi \vee S_\pi)$ , and in that case  $H_q^\pi(X) = S_q S_q X$ .

Thus when  $H_q^\pi(X)$  is really a "new" Fitting formation (rather than an "old" one easier described as a product), it is never saturated. Note that in the case  $q \nmid \pi$ , the assumption  $X \subseteq S_\pi S_\pi$ , ensures that  $X \subseteq S_q(S_\pi \vee S_\pi)$  is equivalent to  $X \subseteq S_\pi \vee S_\pi$ , so this result is in agreement with the theorem of Kanes paraphrased above. The relevant special case of the proof given here is essentially his.

It is obvious that if  $X \subseteq S_q(S_\pi \vee S_\pi)$  then  $H_q^\pi(X)$  is the whole class  $S_q S_q X$  and of course this formation is always saturated. Suppose that  $X \not\subseteq S_q(S_\pi \vee S_\pi)$  yet  $H_q^\pi(X)$  is saturated: the Theorem will be proved by showing that this leads to a contradiction.

The argument is carried out in three steps. The central step is the construction of two groups,  $G_1$  and  $G_2$  say, with the following properties. First,  $G_1 \in X \cap H_q^\pi(X)$ ,  $O_\pi(G_1) = 1$ , and  $G_2$  lies in the formation generated by  $G_1$ . Second, there exists a faithful irreducible  $FO_\pi(G_1)$ -module  $W_1$  which is  $G_1$ -invariant (that is, isomorphic to each of its conjugates by elements of  $G_1$ ). Third, there is an irreducible  $FO_\pi(G_2)$ -module  $W_2$  which is not  $G_2$ -invariant. Once we are in possession of these groups and modules, the final step goes easily, as follows. By Theorem 7.1 of Dade [4] (see also Isaacs [8]),  $W_1$  is the restriction of some  $FG_1$ -module  $U_1$  (which is faithful since

$O_\pi(G_1) = 1$ , and of course irreducible); in turn,  $U_1$  is a submodule of  $F \otimes V_1$  for some faithful irreducible  $F_{qG_1}$ -module  $V_1$ . One readily sees that the semidirect product  $V_1G_1$  lies in  $H_q^\pi(X)$ . On the other hand,  $W_2$  is a submodule of the restriction of some irreducible  $FG_2$ -module  $U_2$ , and as  $W_2$  is not  $G_2$ -invariant that restriction is certainly not homogeneous. Take an irreducible  $F_{qG_2}$ -module  $V_2$  such that  $F \otimes V_2$  contains  $U_2$ ; then  $V_2G_2 \notin H_q^\pi(X)$ . Since our formation is saturated, it has a "full integrated local definition" (see Carter and Hawkes [3]); the formation  $F$  corresponding to the prime  $q$  in that is such that  $F = S_q F \subseteq H_q^\pi(X) \subseteq S_q F$ . As  $V_1$  is faithful,  $O_q(V_1G_1) = 1$ , so  $V_1G_1 \in H_q^\pi(X) \subseteq S_q F$  implies that  $V_1G_1 \in F$ . Because  $G_2$  lies in the formation generated by  $G_1$ , we get that  $G_2 \in F$ ; thus  $V_2G_2 \in S_q F \subseteq H_q^\pi(X)$ , and we have the desired contradiction.

The first step is to consider a group  $H$  of minimal order among all groups contained in  $X$  but not in  $S_q(S_\pi \vee S_\pi)$ . Such an  $H$  must clearly have a unique maximal normal subgroup, say  $M$ , and a unique minimal normal subgroup, say  $P$ . Let  $p^k$  denote the order of  $P$ , with  $p$  prime. Since  $H/P$  lies in  $S_q(S_\pi \vee S_\pi)$  but  $H$  does not,  $p \neq q$ ; since  $H \in X \subseteq S_\pi S_\pi$ , but  $H \notin S_\pi$ , we must have  $P \leq O_\pi(H)$ , so  $p \in \pi$ . Thus in turn we obtain that  $O_q(H) = 1$ ,  $O_q(M) = 1$ ,  $M = O_\pi(M) \times O_{\pi'}(M)$ , but also  $O_{\pi'}(H) = 1$ ,  $O_{\pi'}(M) = 1$ ; so  $M$  is a  $\pi$ -group. As  $H \notin S_\pi$ , the prime index, say  $r$ , of  $M$  in

$H$  must lie in  $\pi'$ . Thus  $H$  has no nontrivial  $\pi$ -quotient while  $H/P \in S_q(S_\pi \vee S_\pi)$ , so in fact  $H/P \in S_q S_{\pi'}$ . It follows that  $M/P \in S_\pi \cap S_q S_{\pi'}$ : in other words,  $M/P$  is a  $q$ -group which can only be nontrivial if  $q \in \pi$ .

If  $M/P = 1$ , we may now proceed with the central step as Kanes did in the case  $q \notin \pi$ . Set  $G_2 = H$  and  $W_2$  any nontrivial irreducible FP-module. For  $G_1$  take a semidirect product of an extraspecial group of order  $p^{2k+1}$  and a group of order  $r$ , the latter acting on the Frattini quotient of the first as a Sylow  $r$ -subgroup of  $H$  acts on the direct sum of  $P$  with its contragredient, and so that  $G_1$  have centre of order  $p$ . The Frattini quotient of  $G_1$  is then a subdirect square of  $H$  and so lies in the metanilpotent Fitting formation  $X \cap H_q^\pi(X) \cap S_p S_r$ : by Hawkes [6] (see also Bryce and Cossey [2]), all such formations are saturated, so  $G_1 \in X \cap H_q^\pi(X)$ . Faithful irreducible modules of extraspecial groups are invariant under group automorphisms which act trivially on the centre (see Huppert [7], V.16.14): hence any such  $\text{FO}_\pi(G_1)$ -module will serve as  $W_1$ .

It is somewhat harder to deal with the case  $M/P \neq 1$ . As noted above, in this case  $q \in \pi$ ; so  $O_\pi(H) = M$  and  $r \neq q$ . Let  $Q$  be a Sylow  $q$ -subgroup of  $M$  and  $R$  a Sylow  $r$ -subgroup of  $H$ : by the Frattini argument,  $R$  can be chosen to normalize  $Q$ . As  $PQ$  is the only maximal normal subgroup, the mutual commutator subgroup  $[Q, R]$  must then be  $Q$ . Note also that the centralizer  $C_P(Q)$  must be trivial, else  $P$  would not be the only minimal

normal subgroup.

If  $[P, R] < P$ , set  $G_1 = H$ . Let  $P_0$  be a maximal subgroup of  $P$  containing  $[P, R]$ ; let  $Q_0$  be the largest subgroup of  $Q$  which normalizes  $P_0$  and acts trivially on  $P/P_0$ . By our choice of  $P_0$  we know that  $P_0$ , and hence also  $Q_0$ , is normalized by  $R$ ; also,  $P_0Q_0$  is normal in  $PQ_0$ . Let  $W_1$  be the FM-module induced from any 1-dimensional  $FPQ_0$ -module  $W$  with kernel  $P_0Q_0$ . The maximal choice of  $Q_0$  ensures that  $PQ_0$  is the "inertia subgroup" in  $M$  of the restriction of  $W$  to  $P$ ; hence  $W_1$  is irreducible. As  $R$  acts trivially on  $PQ_0/P_0Q_0$  we know that  $W$  is  $R$ -invariant: hence so is  $W_1$ . It follows that the kernel of  $W_1$  is normal in  $G$ ; as it does not contain  $P$ , it must be trivial.

If  $[P, R] = P$ , we construct  $G_1$  as a group which is like  $H$  in every relevant respect except this. (Of course  $G_1$  will not necessarily retain the minimal property of  $H$ , either. The construction will make no use of  $[P, R] = P$  and could be performed in any case; the only reason for handling  $[P, R] < P$  above separately was to explain, before getting submerged in other complications, just how the property of  $G_1$  corresponding to  $[P, R] < P$  will be exploited.) Let  $1 \neq h \in R$  so  $R = \langle h \rangle$ , and set  $h_1 = (h^{-1}, h) \in QR \times QR$ ,  $Q_1 = Q \times Q \leq QR \times QR$ ,  $R_1 = \langle h_1 \rangle \leq QR \times QR$ : then  $Q_1R_1$  is normal in the direct product  $QR \times QR$ , and  $[Q_1, R_1] = Q_1$  is easy to verify. Write  $P \# P$  for  $P \otimes P$  viewed as  $QR \times QR$ -module, and note the following facts. As  $QR \times 1$  or  $1 \times QR$

module,  $P \# P$  is a direct sum of "isomorphic copies" of  $P$ . It follows from  $C_P(Q) = 1$  and  $Q \times 1 \leq Q_1$  that  $C_{P\#P}(Q_1) = 1$ . On the other hand,  $C_{P\#P}(R_1) > 1$ , for the Kronecker product of a matrix and its inverse must have at least one eigenvalue 1. Now consider the semidirect product  $(P\#P)(QR \times QR)$ . This is a product of two normal subgroups,  $(P\#P)(QR \times 1)$  and  $(P\#P)(1 \times QR)$ , each of which is a subdirect power of  $H$ ; therefore it lies in the Fitting formation  $X \cap H_q^\pi(X)$ . Consequently, so does its normal subgroup  $(P\#P)Q_1R_1$ . By Maschke's Theorem,  $P \# P$  is completely reducible as  $Q_1R_1$ -module: so the observation  $C_{P\#P}(R_1) > 1$  above yields that  $P \# P$  has an irreducible  $Q_1R_1$ -submodule  $P_1$  such that  $C_{P_1}(R_1) > 1$ . Let  $S$  be a  $Q_1R_1$ -submodule complementing  $P_1$  in  $P \# P$ , and  $T$  a normal subgroup of  $(P\#P)Q_1R_1$  maximal with respect to containing  $S$  but avoiding  $P_1$ . We set  $G_1 = (P\#P)Q_1R_1/T$ . Clearly,  $P_1T/T$  is operator-isomorphic to  $P_1$  and is both the unique Sylow  $p$ -subgroup and the unique minimal normal subgroup of  $G_1$ . As  $C_{P\#P}(Q_1) = 1$ , we have  $[P_1, Q_1] = P_1$ , so  $Q_1 \not\leq T$ ; thus by  $[Q_1, R_1] = Q_1$  we also have  $R_1 \not\leq T$ . On the other hand,  $C_{P_1}(R_1) > 1$  ensures that  $[P_1T/T, R_1T/T] < P_1T/T$ . These facts guarantee that the present  $G_1$  has all the relevant properties of the  $G_1$  of the previous paragraph: one may choose  $W_1$  as before.

To simplify the description of  $G_2$  and  $W_2$ , we *change notation* so from now on  $P, Q, R$  will stand for appropriate Sylow subgroups of  $G_1$ . If  $P$  has a maximal subgroup  $P_2$  not

containing any conjugate of  $[P, R]$ , we can take  $G_2 = G_1$ . Indeed, in that case let  $W$  be a 1-dimensional FP-module with kernel  $P_2$ , and  $W_2$  any irreducible FPQ-module whose restriction to  $P$  contains  $W_2$  (that is, any irreducible quotient of the PQ-module induced from  $W$ ). By its choice,  $W$  is not invariant under any conjugate of  $R$ : so the number of isomorphism types of  $G_2$ -conjugates of  $W$  is divisible by  $r$ . If  $W_2$  were  $G_2$ -invariant, the set of isomorphism types of irreducible submodules of the restriction of  $W_2$  to  $P$  would be  $G_2$ -invariant; in any case, by Clifford's Theorem, that set is a single  $Q$ -orbit: thus the cardinality of this set would have to be a power of  $q$  divisible by  $r$ . As this is impossible,  $W_2$  is not  $G_2$ -invariant, and we are done.

If  $P$  does not have such a maximal subgroup  $P_2$ , we choose  $G_2$  differently: not as  $G_1$ , the semidirect product of  $QR$  with the QR-module  $P$ , but as the semidirect product of  $QR$  with the  $k$ -fold direct power  $P^k$  of this QR-module. Then  $G_2$  is a subdirect power of  $G_1$ , so it lies in the formation generated by  $G_1$ . If we can find a maximal subgroup  $P_2$  in  $P^k$  not containing any conjugate of  $[P^k, R]$ , we can choose  $W_2$  as before. Recall that  $k$  was chosen so that  $P$  itself is the  $k$ -fold direct power of a group  $C$  of order  $p$ ; if  $\varphi_1, \dots, \varphi_k$  are the corresponding coordinate-projections of  $P$  onto  $C$ , then the intersection of the kernels of these homomorphisms is trivial. Define a homomorphism  $\varphi$  from  $P^k$  to  $C$  by  $(x_1, \dots, x_k)\varphi = \prod x_i \varphi_i$ ; we claim the kernel of  $\varphi$  can serve as



$P_2$ . As  $[P, R] \neq 1$  (else the normal closure of  $R$ , which includes  $Q$  because  $[Q, R] = Q$ , would also act trivially on  $P$ , contrary to  $C_P(Q) = 1$ ), to each element  $g$  of  $QR$  there is an index  $i(g)$  such that  $[P, R]_{\phi_{i(g)}}^g \neq 1$ . Accordingly, there is an element  $y_g$  in  $P$  such that  $[y_g, h^g]_{\phi_{i(g)}} \neq 1$  (here, as before  $\langle h \rangle = R$ ). Let  $z_g$  be the element of  $P^k$  whose components are all trivial except the  $i(g)$ -component which is  $y_g$ : then all components of  $[z_g, h^g]$  are trivial except the  $i(g)$ -component which is  $[y_g, h^g]$ , so  $[z_g, h^g]_{\phi} = [y_g, h^g]_{\phi_{i(g)}} \neq 1$ . This shows that  $[P^k, R^g]$ , that is,  $[P^k, R]^g$ , is not contained in  $P_2$ , and the proof of the Theorem is complete.

In conclusion, note that when  $q = 2$ ,  $\pi = \{2, 13\}$ , and  $X = S_{13}S_2S_3 \cap Y_{13}^3$  where  $Y_{13}^3$  is as defined in the paper [1] of Berger and Cossey, the smallest group  $H$  in  $X$  but outside  $S_q(S_{\pi} \vee S_{\pi'})$  has  $H/P \cong \text{SL}(2, 3)$  and  $[P, R] = P$ : so the hard version of the case  $M/P \neq 1$  genuinely does arise and must be coped with. On the other hand, when  $q = 31$ ,  $\pi = \{5, 31\}$ , and  $X = S_5S_{31}S_3 \cap Y_5^3$ , we find that  $H/P$  is the nonabelian group of order 93 and each of the 31 maximal subgroups of the group  $P$  of order  $5^3$  is a conjugate of  $[P, R]$ : so the easy choice of  $G_2$  is not always available, either.

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