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GENERATING FINITE COMPLETELY REDUCIBLE LINEAR GROUPS

L. G. KOVÁCS AND GEOFFREY R. ROBINSON

(Communicated by Warren J. Wong)

ABSTRACT. It is proved here that each finite completely reducible linear group of dimension d (over an arbitrary field) can be generated by $\lfloor \frac{3}{2}d \rfloor$ elements. If a finite linear group G of dimension d is not completely reducible, then its characteristic is a prime, p say, and the factor group of G modulo the largest normal p -subgroup $\mathbb{O}_p(G)$ may be viewed as a completely reducible linear group acting on the direct sum of the composition factors of the natural module for G : consequently, $G/\mathbb{O}_p(G)$ can still be generated by $\lfloor \frac{3}{2}d \rfloor$ elements.

1. INTRODUCTION

The aim of this paper is to prove the following:

Theorem. *Each finite completely reducible linear group of dimension d can be generated by $\lfloor \frac{3}{2}d \rfloor$ elements.*

If a finite linear group G of dimension d is not completely reducible, then its characteristic is a prime, p say, and the factor group of G modulo the largest normal p -subgroup $\mathbb{O}_p(G)$ may be viewed as a completely reducible linear group acting on the direct sum of the composition factors of the natural module for G : consequently, $G/\mathbb{O}_p(G)$ can still be generated by $\lfloor \frac{3}{2}d \rfloor$ elements.

By a linear group G we mean a group of nonsingular linear transformations on a finite dimensional vector space V over a (commutative) field \mathbb{F} ; the dimension of G is the dimension of V . As usual, for any real number x we denote by $\lfloor x \rfloor$ and $\lceil x \rceil$ the integers defined by $\lfloor x \rfloor \leq x < \lfloor x \rfloor + 1$ and $\lceil x \rceil - 1 < x \leq \lceil x \rceil$.

The case of a group whose order is prime to the characteristic of the field can be dealt with relatively easily. Isaacs had shown [9] that each finite completely reducible linear p -group of dimension d can be generated by $\lfloor \frac{3}{2}d \rfloor$ elements. Lucchini [12] and Guralnick [6] have recently proved that any (abstract) finite group can be generated by $n + 1$ elements provided each of its Sylow subgroups

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can be generated by n elements. With a little care, one can combine these two results (and Maschke's theorem) to get what we want.

This plan cannot possibly work without the coprimality assumption: for example, the 2-dimensional irreducible linear groups $SL(2, p^n)$ have Sylow subgroups which need n generators. Instead, we show that in any finite completely reducible linear group of prime characteristic p , the difficulties arising from possibly large Sylow p -subgroups can be contained within the components (that is, the quasisimple subnormal subgroups) of G : then these difficulties can be overcome by using that all quasisimple groups are 2-generator groups.

For the latter fact, and for information on outer automorphism groups of simple groups, we depend directly on the classification of finite simple groups. Use of the work of Guralnick and Lucchini already made us indirectly dependent on that. It will be convenient to exploit the 2-generator nature of simple groups via an easy variant (which we take for granted) of Lemma 2 of Wiegold [14]: any direct product of r nonabelian finite simple groups can be generated by $2 + \lceil \log_{60} r \rceil$ elements.

If \mathbb{F} is any field which has an element of multiplicative order 4, then $GL(2, \mathbb{F})$ has an irreducible subgroup of order 16 which needs 3 generators. The direct sum of m copies of this group gives a $2m$ -dimensional linear group which cannot be generated by fewer than $3m$ elements. In this sense, our theorem is optimal.

A result similar to the coprime case of our theorem but involving a constant multiple of $d^2/\log d$ in place of the present $\lfloor \frac{3}{2}d \rfloor$ had been given by Fisher in [4]; the noncoprime case was also considered there. We are indebted to Professor J. D. Dixon for drawing the problem to our attention.

2. THE COPRIME CASE

As complete reducibility of finite linear groups is unaffected by field extensions (see § VII.1 in [8]), \mathbb{F} can always be assumed as large as we wish.

The plan for the coprime case outlined in the Introduction directly proves the theorem with $\lfloor \frac{3}{2}d \rfloor + 1$ in place of $\lfloor \frac{3}{2}d \rfloor$. The way to improve on this lies in considering transition in both directions between $GL(V)$ and $PGL(V)$, the factor group of $GL(V)$ modulo the group Z of all the scalar transformations.

First, let p be any prime different from the characteristic of \mathbb{F} , and H a Sylow p -subgroup of G . Extend \mathbb{F} if necessary, to ensure that Z has a finite p -subgroup P which is not contained in H . Of course P contains $H \cap Z$, so $HZ/Z \cong HP/P$. Further, P is not contained in the Frattini subgroup of HP , and so HP needs more generators than HP/P does. From the theorem of Isaacs applied to HP , one can therefore conclude that HZ/Z can be generated by $\lfloor \frac{3}{2}d \rfloor - 1$ elements. The coprimality assumption ensures that this argument is available for all relevant primes, and so the theorem of Lucchini and Guralnick yields that GZ/Z can be generated by $\lfloor \frac{3}{2}d \rfloor$ elements.

Next, let M be a subgroup of G minimal with respect to $MZ = GZ$. By a familiar argument, $M \cap Z$ is then contained in the Frattini subgroup of M ,

so $M/(M \cap Z) \cong MZ/Z = GZ/Z$ means that M can be generated by $\lfloor \frac{3}{2}d \rfloor$ elements. Moreover, every elementary abelian quotient of M is isomorphic to a quotient of GZ/Z , so we can further conclude that M/M' can be generated by $\lfloor \frac{3}{2}d \rfloor - 1$ elements. A well-known result of Gaschütz [5] now allows us to combine these conclusions as follows: M is generated by $\lfloor \frac{3}{2}d \rfloor$ elements one of which can be chosen within M' . On the other hand, by Dedekind's law we have $G = M(G \cap Z)$, and of course $G \cap Z$ is cyclic. On replacing a generator of M lying in M' by its product with a generator of $G \cap Z$, the $\lfloor \frac{3}{2}d \rfloor$ -element generating set of M becomes a generating set of G . This completes the proof of the coprime case.

3. FIRST STEPS TOWARDS THE GENERAL CASE

The proof of the general case will occupy the rest of the paper. Throughout, \mathbb{F} denotes a field, V an \mathbb{F} -space of dimension d , and G a finite completely reducible subgroup of $GL(V)$; we also retain the convention that Z stands for the centre of $GL(V)$. The coprime case having been dealt with, we now assume that the characteristic of \mathbb{F} is not 0. Standard results on changing fields (see § VII.1 in [8]) ensure that one can change first to the algebraic closure of \mathbb{F} , and then to any finite subfield which is a splitting field for G . We take advantage of this by assuming that

$$\mathbb{F} \text{ is finite and contains all roots of } x^{\exp G} = 1$$

(here $\exp G$ stands for the exponent of G). For ease of expression we also assume that

$$G \geq Z.$$

This can be done without loss of generality. Indeed, it is an elementary exercise to show that if a central product of an arbitrary finite group G with a finite cyclic group Z can be generated by n elements, then so can G itself. On the other hand, while the exponent of GZ may be larger than the exponent of G , it is easy to see that if \mathbb{F} contains all solutions of $x^{\exp G} = 1$ then it also contains all solutions of $x^{\exp GZ} = 1$.

Our aim in this section is to prove the theorem under the additional hypothesis that G has an irreducible quasisimple normal subgroup K . Of course now \mathbb{F} is a splitting field for K , so $C_G(K) = Z$, and hence the generalized Fitting subgroup $F^*(G)$ is just KZ . (The reader is assumed to be familiar with the terminology and basic results in § X.13 of [8].) In particular, $F^*(G)$ can be generated by 2 elements. In view of the theorem of Lucchini and Guralnick, it will therefore be more than sufficient to prove the following.

Lemma. *If G has an irreducible quasisimple normal subgroup, then for all primes p the sectional p -rank of $G/F^*(G)$ is at most $\lfloor \frac{3}{2}d \rfloor - 3$, except when $p = 2$ and $d = |G/F^*(G)| = 2$.*

Proof. Now $G/F^*(G)$ is isomorphic to a subgroup of $\text{Out } K$. If an automorphism of a group is trivial on the central factor group, it must fix each

commutator: since K is perfect, this makes it easy to see that in turn $\text{Out } K$ is isomorphic to a subgroup of $\text{Out}(K/Z(K))$. From the description of the outer automorphism groups of the simple groups given in the Atlas [2], one readily sees that they all have sectional p -rank at most 3, for all p . As $\lfloor \frac{3}{2}d \rfloor - 3 \geq 3$ when $d \geq 4$, we need only be concerned with the cases $d = 2$ and $d = 3$.

All irreducible but imprimitive linear groups of dimension at most 3 are obviously soluble: hence now our G is primitive. All primitive linear groups of dimension at most 3 are explicitly known, so it is just a matter of checking lists (Bloom [1], Hartley [7]). Not quite: some lists restrict attention to the unimodular case. To overcome this hurdle, consider a finite extension \mathbb{E} of \mathbb{F} in which each equation $x^d = f$ with $f \in \mathbb{F}$ has a root, and denote the centre of $GL(d, \mathbb{E})$ by Z^* : then $G < SL(d, \mathbb{E})Z^*$. Put $H = GZ^* \cap SL(d, \mathbb{E})$; then K is a normal subgroup of H so H is primitive and therefore listed, while $H/F^*(H)$ and $G/F^*(G)$ are isomorphic to the same subgroup of $\text{Out } K$ and so it suffices to check on $H/F^*(H)$. \square

(In fact, one finds that $|G/F^*(G)| \leq d$ whenever $d \leq 3$.)

4. IRREDUCIBLE LAYER

The aim of this section is to prove our theorem under the assumption that the layer $E(G)$ of G is irreducible.

Lemma. *If K_1, \dots, K_r are the quasisimple subnormal subgroups of G and if the (normal) subgroup E they generate is irreducible, then the sectional p -rank of $G/F^*(G)$ is at most $\lfloor \frac{3}{2}d \rfloor - 2r - 1 + \lfloor \frac{r}{p} \rfloor$, except when $r = 1$, $p = 2$, and $d = |G/F^*(G)| = 2$.*

Proof. The case of $r = 1$ is just the lemma of §3 so suppose that $r \geq 2$. As E is irreducible, $\mathbb{C}_G(E) = Z$, and so $F^*(G) = EZ$. For each i , choose an irreducible K_i -subspace V_i , and denote by $K_i \downarrow V_i$ the restriction of K_i in $GL(V_i)$. The linear span of K_i is a subalgebra of $\text{End}_{\mathbb{F}} V$; let L_i denote the normalizer of K_i in the group of units of that subalgebra, and N the intersection of the normalizers $\mathbb{N}_{GL(V)}(K_i)$. Of course, $Z \leq L_i \leq N \geq G$. By Corollary 2 in §4 of [10], we know that $N/F^*(G)$ is the direct product of the L_i/K_iZ . Of course $F^*(L_i) = K_iZ$, restriction to V_i is an (abstract) isomorphism on L_i , and the lemma of §3 is applicable with $L_i \downarrow V_i$, $K_i \downarrow V_i$ in the roles of G , K : with $d_i = \dim V_i$, the conclusion is that *either* the sectional p -rank of L_i/K_iZ is at most $\lfloor \frac{3}{2}d_i \rfloor - 3$ OR $p = 2$ and $d_i = |L_i/K_iZ| = 2$. As $d_i \geq 2$ for all i and $d = \prod d_i \geq \sum d_i$, we can conclude that the sectional p -rank of $N/F^*(G)$ is at most $\lfloor \frac{3}{2}d \rfloor - 2r - 1$ except perhaps when $r = 2$, $p = 2$, and $d_i = |L_i/K_iZ| = 2$ for $i = 1, 2$. The same holds then for $(G \cap N)/F^*(G)$ in place of $N/F^*(G)$.

As $\{K_1, \dots, K_r\}$ is the set of *all* components of G , conjugation gives a permutation representation of G on this set, with kernel $G \cap N$: thus $G/(G \cap N)$ can be thought of as a permutation group of degree r . It follows from Kovács

and Praeger [11] that the sectional p -rank of any permutation group of degree r is at most $\lfloor \frac{r}{p} \rfloor$. This completes the proof of the lemma, but for the exceptional case detailed in the second last sentence of the previous paragraph. In that case $d = 4$ and $p = r = 2$, so what we require of $G/F^*(G)$ is that its sectional 2-rank be at most 2. When K_1 and K_2 are normal in G , this follows without further argument. If K_1 and K_2 are conjugate in G , then L_1 and L_2 are also G -conjugate and so $GN/F^*(G)$ is a nonabelian group of order 8: consequently, the desired conclusion is still available. \square

Under the hypotheses of this lemma, our theorem will now follow from the Lucchini-Guralnick theorem if we can show that $F^*(G)$ can be generated by $2r - \lfloor \frac{r}{2} \rfloor$ elements. (Recall that the case $r = 1$ has already been disposed of in § 3.) In turn, this requires only that any direct product of r nonabelian simple groups be generated by the given number of elements. By the variant of a lemma of Wiegold [14] mentioned in our § 1, any such direct product can be generated by $2 + \lceil \log_{60} r \rceil$ elements. Of course $2 + \log_{60} r \leq r + 1 \leq 2r - \lfloor \frac{r}{2} \rfloor$ whenever $r \geq 2$, so we are done.

5. MULTIPLICITY-FREE LAYER

The final step in the first half of the proof of the theorem is now at hand.

Lemma. *Suppose that G is irreducible, that it does have quasisimple subnormal subgroups, and that the product E of these is multiplicity-free (in the sense that V as $\mathbb{F}E$ -module is a direct sum of pairwise nonisomorphic irreducible submodules). Then G can be generated by $\lfloor \frac{3}{2}d \rfloor$ elements.*

Proof. Let K_1, \dots, K_s be the components of G , and let $V \downarrow E = V_1 \oplus \dots \oplus V_t$ with irreducible V_j . The case of $t = 1$ having been dealt with in § 4, assume that $t \geq 2$. Of course each $K_i \downarrow V_j$ is either trivial or quasisimple, and to each i there is at least one j such that $K_i \downarrow V_j$ is nontrivial. For any one j , let r be the number of nontrivial $K_i \downarrow V_j$: as G is irreducible, the V_j form a single G -orbit, so this r is independent of j ; also, by the foregoing, $s \leq rt$. This may be the point to note that each V_j has dimension $\frac{d}{t}$.

Consider $N = \bigcap \mathbb{N}_{GL(V)}(V_j) = \bigoplus GL(V_j)$. With Z_j standing for the centre of $GL(V_j)$, now $\mathbb{C}_{GL(V)}(E) = \bigoplus Z_j$, so $\mathbb{C}_G(E)$ is a subgroup of a direct product of t cyclic groups. In particular, it follows that $\mathbb{C}_G(E) = F(G)$, hence $Z \leq F^*(G) = E\mathbb{C}_G(E) \leq N$. Of course $G \cap N$ is a normal subgroup of G , so we also have $F^*(G) = F^*(G \cap N)$.

It is an elementary exercise that if X is a finite subgroup of a finite direct product $\prod Y_j$ with coordinate projections $\pi_j : \prod Y_j \rightarrow Y_j$ then $F^*(X) = X \cap \prod F^*(X\pi_j)$ and so $X/F^*(X)$ is isomorphic to a subgroup of $\prod (X\pi_j/F^*(X\pi_j))$. Apply this with $X = G \cap N$ and $Y_j = GL(V_j)$ to obtain that $(G \cap N)/F^*(G)$ is isomorphic to a subgroup of $\prod (G_j/F^*(G_j))$ where $G_j = (G \cap N) \downarrow V_j$. We know that $E \downarrow V_j$ is the product of r elementwise commuting quasisimple groups, that it is normal in G_j , and it is irreducible. By the lemma of § 4, the

sectional p -rank of $G_j/F^*(G_j)$ is at most $\lfloor \frac{3}{2} \frac{d}{t} \rfloor - 2r - 1 + \lfloor \frac{t}{p} \rfloor$, except when $r = 1$, $p = 2$, and $\frac{d}{t} = |G_j/F^*(G_j)| = 2$. Since the G_j are quotients of $G \cap N$ modulo G -conjugate kernels, either all G_j are exceptional in this sense or none of them is. When none of them is exceptional, we conclude that the sectional p -rank of $(G \cap N)/F^*(G)$ is at most $\lfloor \frac{3}{2} d \rfloor - 2rt - t + \lfloor \frac{t}{p} \rfloor t$.

On the other hand, $G \cap N$ is the kernel of the permutation representation of G on the set $\{V_1, \dots, V_t\}$, so by a previous argument the sectional p -rank of $G/(G \cap N)$ is at most $\lfloor \frac{t}{p} \rfloor$. It follows that, but for the exceptional case, the sectional p -rank of $G/F^*(G)$ is at most $\lfloor \frac{3}{2} d \rfloor - q$ where

$$q = 2rt + t - \lfloor \frac{t}{2} \rfloor t - \lfloor \frac{t}{2} \rfloor.$$

In the exceptional case, $G/F^*(G)$ is isomorphic to a subgroup of a (permutational or nonstandard) wreath product of a group of order 2 with the symmetric group of degree t , and hence also to a subgroup of the symmetric group of degree $2t$: thus in this case the sectional p -rank of $G/F^*(G)$ is at most t , for all p . Recall that in this case $d = 2t$ and $r = 1$.

To complete the argument in the nonexceptional case on the pattern which must be familiar by now, we need to show that $F^*(G)$ can be generated by $q - 1$ elements. We have already used special cases of Lemma 5.1 of Wiegold [13]: if a perfect group can be generated by m elements and an abelian group can be generated by n elements, then any central product of these two groups can be generated by $\max\{m, n\}$ elements. Now we know that $E(G)$ is the product of at most rt components, so by the variant of that other lemma of Wiegold [14] in our § 1, this perfect group can be generated by $2 + \lceil \log_{60} rt \rceil$ elements. Further, $F(G)$ is known to be an abelian group which can be generated by t elements. Of course $q - 1 \geq t$ always holds and, as $t \geq 2$, so does $q - 1 \geq t + 1 \geq 2 + \lceil \log_{60} rt \rceil$ when $r = 1$. If also $r \geq 2$, then $rt \geq r + t$ and hence

$$\begin{aligned} q - 1 &\geq \frac{3}{2}rt - \frac{t}{2} - 1 \\ &\geq \frac{3}{2}r + t - 1 \\ &\geq 2 + (r - 1) + (t - 1) \\ &\geq 2 + \lceil \log_{60} r \rceil + \lceil \log_{60} t \rceil \\ &\geq 2 + \lceil \log_{60} rt \rceil. \end{aligned}$$

To complete the argument in the exceptional case on the same pattern is much easier. One is required to show that each of $E(G)$ and $F(G)$ can be generated by $2t - 1$ elements: but $2t - 1 \geq t$ and $2t - 1 \geq 2 + \log_{60} t$ are obvious when $t \geq 2$. \square

6. THE INDUCTION

We are now ready to prove the theorem in full generality, by induction on d . The assumption that \mathbb{F} is a finite splitting field for all subgroups of G will be maintained; the characteristic of \mathbb{F} will be denoted by p . The initial case

$d = 1$ is obvious. The inductive hypothesis is that $d > 1$ and the theorem holds in all smaller dimensions.

Case 1. G is reducible.

Now $V = U \oplus W$ with $\mathbb{F}G$ -submodules U, W of dimension less than d . By the inductive hypothesis, $G \downarrow U$ and $\mathbb{C}_G(U) \downarrow W$ can be generated by $\lfloor \frac{3}{2} \dim U \rfloor$ and $\lfloor \frac{3}{2} \dim W \rfloor$ elements, respectively. On the other hand, $G/\mathbb{C}_G(U) \cong G \downarrow U$ and $\mathbb{C}_G(U) \cong \mathbb{C}_G(U) \downarrow W$, so it follows that G can be generated by $\lfloor \frac{3}{2} d \rfloor$ elements.

Case 2. G is irreducible and has a nonabelian normal subgroup H which is not multiplicity-free.

Let t denote the number of the isomorphism types of the irreducible direct summands of V as $\mathbb{F}H$ -module; by Clifford's theorem, these summands have a common dimension, e say, and a common multiplicity, m say. Then $d = emt$, and $\mathbb{C}_{GL(V)}(H)$ is the direct sum of t copies of $GL(m, \mathbb{F})$. Being a subgroup of this direct sum, $\mathbb{C}_G(H)$ has a faithful representation of dimension mt over \mathbb{F} . Since $\mathbb{O}_p(\mathbb{C}_G(H)) = 1$, it follows that $\mathbb{C}_G(H)$ has also a completely reducible faithful representation of this dimension. As H is nonabelian, $e \geq 2$: thus by the inductive hypothesis $\mathbb{C}_G(H)$ can be generated by $\lfloor \frac{3}{2} mt \rfloor$ elements.

On the other hand, H has a faithful completely reducible and multiplicity-free representation over \mathbb{F} , whose dimension is et and whose equivalence type is G -invariant: let $\rho : H \rightarrow GL(U)$ be such a representation. To each g in G there exist elements \bar{g} in $GL(U)$ such that $(g^{-1}hg)\rho = \bar{g}^{-1}(h\rho)\bar{g}$ for all h in H . In fact, the \bar{g} with this property form a right coset module $\mathbb{C}_{GL(U)}(H\rho)$. The union of these cosets is a group \bar{G} which is finite (because \mathbb{F} is) and completely reducible (because now each H -admissible subspace of U has a unique H -admissible complement, and thus if this subspace admits \bar{G} , so does that complement). By our assumption, $m \geq 2$: so by the inductive hypothesis \bar{G} can be generated by $\lfloor \frac{3}{2} et \rfloor$ elements. As $\bar{G}/\mathbb{C}_{GL(U)}(H\rho) \cong G/\mathbb{C}_G(H)$, it follows that G can be generated by $\lfloor \frac{3}{2} mt \rfloor + \lfloor \frac{3}{2} et \rfloor$ elements. Since $e \geq 2$ and $m \geq 2$, one has $em \geq e + m$, so this is good enough.

Case 3. G is irreducible and $\mathbb{C}_G(F(G)) \leq F(G)$.

Let P be a Sylow p -subgroup of G , and set $H = PF(G)$. Since G is irreducible, $\mathbb{O}_p(G) = 1$, and so $P \cap F(G) = 1$. Because $\mathbb{C}_G(F(G)) \leq F(G)$, it follows that $\mathbb{O}_p(H) = 1$; therefore H has a completely reducible faithful representation of dimension d over \mathbb{F} . As H is soluble, the Fong-Swan-Rukolaine theorem (22.1 in [3]) now gives that one can also view H as a subgroup of $GL(d, \bar{\mathbb{F}})$ for a suitable field $\bar{\mathbb{F}}$ of characteristic 0. Then $P \cap Z(H) = 1$ shows that the natural map to $PGL(d, \bar{\mathbb{F}})$ is one-to-one on P . By the argument in the first half of the proof of the coprime case, P can therefore be generated by $\lfloor \frac{3}{2} d \rfloor - 1$ elements. Of course for Sylow subgroups of G corresponding to other primes, that argument is available already over \mathbb{F} . The second half of that proof made no use of the coprimality assumption, so it can be used to finish off this case as well.

THE REMAINING CASE

In the remaining case, G is irreducible and the layer $E(G)$ of G is nontrivial and multiplicity-free: so that is just the case dealt with in the lemma of § 5. \square

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